

Filed PCT/PTO 27 APR 2001

GREENBLUM & BERNSTEIN, P.C.  
Intellectual Property Causes  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

4/26/2001

COPY

File In: PCT

The Patent Office Date stamp hereon is an acknowledgement that, on the date indicated, the Patent Office received the following:

(X) RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND NOTIFICATION OF DEFECTIVE  
( ) Amendment DATA OR DECLARATION ( ) Claim of Priority & Certified  
( ) Executed Assignment and cover letter Copy of \_\_\_\_\_

( ) by facsimile ( ) Declaration ( ) Supplemental  
(X) Executed S.E.S. ( ) S.E.S. Assertion ( ) New ( ) Unexecuted  
( ) Req. for Ext. of Time ( ) Executed by facsimile

( ) Fee Filing ( )  
Recording ( )  
Issue ( )  
Extension ( )

( ) Fee Transmittal (X) Transmittal Letter (copy)  
( ) Maintenance Fee Payment ( ) Patent Application  
( ) PTOL-85B Issue Fee ( ) Provisional ( ) Reexam  
( ) Letter to Draftsman ( ) Reissue ( ) Design  
( ) Design Patent Application Transmittal ( ) Continuation ( ) CIP  
( ) Utility Patent Application Transmittal \_\_\_\_\_ pages (w/abstract)  
( ) Provisional Application Cover Sheet \_\_\_\_\_ claims \_\_\_\_\_ independent  
( ) Continued Prosecution Application \_\_\_\_\_ sheets of drawings  
(CPA) Request Transmittal \_\_\_\_\_ figures

( ) Request for Continued Examination (RCE) ( ) I.D.S. form PTO-1449 &  
including Submission References ( ) as attached  
( ) One self-addressed postcard ( ) as listed on  
( ) Cover Letter (copy) reverse  
( ) Certification under 1.97(e)  
( ) Certificate of Mailing (C-O-M)  
( ) Returned Envelope

(X) Check No. 36614 for \$65.00 (copy)  
( ) Rule 53b and 53f Letter for  
Unexecuted Application (X) COPIES OF FORM PCT/DO/EO/917 +  
(X) DATE STAMPED RECEIPT (copy) PCT/DO/EO/905

In the matter of : ULTRAMINIATURIZED RESERVE BATTERY CELL

Applicant : Won-lee LEE

Application No. : 09/774,951

Patent No.

Filed : 2/06/2001

Issued :

Docket : P20572

AT

P20572.P07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Won-Bae LEE et al.

Appl. No : 09/774,951

(U.S. National Phase of PCT/KR99/00437)

PCT Branch

I.A. Filed : August 5, 1999

For : ULTRAMINIATURIZED RESERVE BATTERY CELL

RECEIVED  
SEP 04 2001  
Technology Center 2600**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND  
NOTIFICATION OF DEFECTIVE OATH OR DECLARATION**Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office has issued a Notification of Missing Requirements and Notification of a Defective Oath or Declaration dated April 17, 2001, the period for response extending one month from the date of the Notification i.e., to and including May 17, 2001. This Notification indicates that a signed declaration is required..

In fact, the requisite signed declaration and fee were filed on March 30, 2001. For the convenience of the U.S. Patent and Trademark Office, copies of the following documents are submitted herewith:

- (1) Cover Letter;
- (2) executed Declaration;
- (3) Check No. 36614 in the amount of \$65.00;

P20572.P07

(4) date stamped filing receipt for the above-listed materials, evidencing filing of these materials in the PCT Branch of the U.S. Patent and Trademark Office on March 30, 2001;

(5) Transmittal letter; and

(6) copy of Statement of Small Entity Status

Also provided herewith is the Notification of Missing Requirements (Form PCT/DO/EO/905) and Notification of Defective Oath or Declaration (Form PCT/DO/EO/917).

It is therefore respectfully submitted that the next Official Communication for this application acknowledge that all formal requirements have been met, and that this application is complete. Favorable action is respectfully requested.

The Commissioner is authorized to charge any fees necessary for the entry of this document, or any fees or surcharges required to maintain the pendency of this application, to Deposit Account No. 19-0089.

Any questions or comments concerning this application can be directed to the undersigned at the telephone number given below.

April 26, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

Respectfully submitted,  
Won-Bae LEE et al.



Arnold Turk  
Reg. No. 33,094

P20572.P06 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#13  
9.6.20

Applicant :Won-Bae LEE et al

Attn: Application Branch

Application No. :09/77<sup>4p</sup>4,951

Filed :February 6, 2001

For :ULTRAMINIATURIZED RESERVE BATTERY CELL

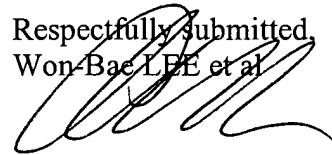
**STATEMENT OF SMALL ENTITY STATUS**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.27, Applicant(s) in the above-identified application hereby request(s) status as a small entity for purposes of paying fees.

Respectfully submitted,  
Won-Bae LEE et al



---

Arnold Turk  
Reg. No.33,094

March 30, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191

P20572.P04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Won-Bae LEE et al.

Serial No : 09/7<sup>H</sup>74,951  
(National Stage of PCT/KR99/00437)

Filed : August 5, 1999

For : ULTRAMINIATURIZED RESERVE BATTERY CELL

**COVER LETTER**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In order to comply with the requirements for the submission of an executed declaration and English translation of the International Application in the above-identified application, and prior to receipt of the Notification which should set a time limit for submission of materials, and further to the filing of the above-identified application and the filing fee on February 6, 2001. Applicant hereby submits:

- an executed Declaration and Power of Attorney;
- a Statement of Small Entity Status;
- a check in the amount of \$65.00 to cover the surcharge for late filing of the Declaration.

COPY

36614

**GREENBLUM & BERNSTEIN, P.L.C.**

OUR REFERENCE NUMBER	YOUR INVOICE NUMBER	INVOICE DATE	INVOICE AMOUNT	AMOUNT PAID	DISCOUNT	NET AMOUNT
Surcharge - Late filing fee or oath or declaration (205)						
File # : P20572						

**GREENBLUM & BERNSTEIN, P.L.C.**

**PTO ACCOUNT**  
1941 ROLAND CLARKE PLACE  
RESTON, VA 20191  
(703) 716-1191

**NATIONSBANK  
OF VIRGINIA N.A.  
68-1-510**

36614

DATE	CONTROL NO.	AMOUNT
March 30, 2001		\$ 65.00

PAY Sixty Five and 00/100 Dollars  
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS  
ORDER OF

VOID AFTER 120 DAYS

1103661411 1:0510000171 00002691210111

 **Security features included. Details on back.**

P20572.P12

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :Won-Bae LEE et al

Application No. :09/744,951

Filed :February 6, 2001

For :ULTRAMINIATURIZED RESERVE BATTERY CELL

**Attn: Box 16  
REFUND SECTION**

**RESUBMISSION OF REQUEST FOR REFUND**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

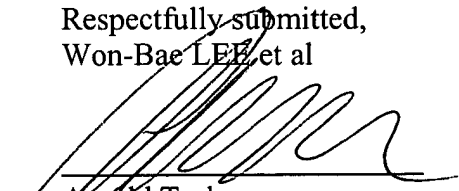
Applicants respectfully request a refund in the amount of \$430.00 of the \$860.00 paid on February 6, 2001 in connection with the filing of the above-identified application. The basis of this Request for Refund is the fact that a Statement Concerning Small Entity Status was filed on March 30, 2001 and a Resubmission of Statement Concerning Small Entity Status is being filed on even date herewith ( a copy of which is enclosed), and therefore Applicant is entitled to a refund.

In particular, Applicants are hereby resubmitting this Request for Refund to ensure that it is present in the file at the Patent and Trademark Office. In particular, it is noted that the incorrect Application No. was provided by the Patent and Trademark Office and this Application No. was placed upon the previously submitted Request for Refund accompanied. Therefore, the disclosure statement should be properly matched with the instant file. However, if it is placed in another file, the Patent and Trademark Office is respectfully requested to have the Request for Refund transferred to this file.

P20572.P12

Please make payment of the refund by check to the law firm at the address indicated in the file.

Respectfully submitted,  
Won-Bae LEE et al

  
\_\_\_\_\_  
Arnold Turk  
Reg. No. 33,094

April 26, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191



P20572.P13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :Won-Bae LEE et al

Attn

Application No. :09/744,951

Filed :February 6, 2001

For :ULTRAMINIATURIZED RESERVE BATTERY CELL

**RESUBMISSION OF STATEMENT OF SMALL ENTITY STATUS**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

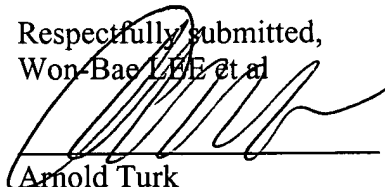
In accordance with 37 C.F.R. 1.27, Applicant(s) in the above-identified application hereby once again request(s) status as a small entity for purposes of paying fees.

In particular, Applicants are hereby resubmitting this Statement of Small Entity Status to ensure that it is present in the file at the Patent and Trademark Office. In particular, it is noted that the incorrect Application No. was provided by the Patent and Trademark Office and this Application No. was placed upon the previously submitted Request for Refund accompanied. Therefore, the Statement of Small Entity Status should be properly matched with the instant file. However, if it is placed in another file, the Patent and

P20572.P13

Trademark Office is respectfully requested to have the Statement of Small Entity Status transferred to this file.

Respectfully submitted,  
Won-Bae LEE et al

A handwritten signature in black ink, appearing to read 'Arnold Turk', is written over a horizontal line.

Arnold Turk  
Reg. No.33,094

April 26, 2002  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191